Management Response Template

| Project identification data | | | | |
|-----------------------------|--|--|--|--|
| Project title: | Strengthening Capacities for Implementation of the Nagoya Protocol in Nepal | | | |
| Date started: Date closed: | August 2018 | | | |
| Project manager: | Ram Chandra Khatiwada , Under Secretary, Biodiversity and Environment Division (BED), Ministry of Forests and Environment (MoFE) | | | |
| National Project Director | Mr. Yajna Nath Dahal, National Project Director and Chief, BED, MoFE | | | |

| Management Response Summary Data | |
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| Name of midterm review: Mid Term Review Report - Strengthening | Party/ies responsible for managing/tracking follow-up: Program |
| Capacities for Implementation of the Nagoya Protocol in Nepal | Management Unit, ABS-GEF Project, MoFE |
| Date received: 6 th August 2018 | |
| Date Management Response approved: | Party/ies requested to take action: |
| Last updated: | |

| Recommendation | Management response | Intended Result | Actions planned (including timeframe) | Completed Actions (progress update) | Responsibility | | |
|---|--|---|---------------------------------------|--|---|--|--|
| List each recommendation from the report, one per row. | e.g. Agree, partially disagree or disagree (explain as needed) | What is the intended result of the action you plan to take? | Actions should be SMART | | Responsible unit/person and any other notes | | |
| A) For PMU to be considered in the remaining project period | | | | | | | |
| 1. Overall Recommendations | | | | | | | |

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| 1.1 As the project period ends in April 2019 as per the Implementing Agreement signed between IUCN and MoFSC (now MOFE), and the addition/expansion of above activities enhance the effectiveness of the project thus requiring additional time for implementation, the project management should initiate administrative arrangement for extending the duration of the project implementation. This should also help in providing enough time for internalizing the project effects. | Agree In view of the number of activities to be completed, including the finalisation of the ABS bill and time required to complete the preparation of required regulations after that, the no cost extension has become essential. | Six months extension of current project period | Request of extension from NPD-PMU to implementing agency within 15th August Follow up by PEU for further process | | PMU |
| 1.2 The current reporting system should further improve in documentation in aspects such as disintegrated data of participants considering GESI basis which would help in impact assessment and facts and figures should be cross checked. | Agree | Documentation of disaggregated and validated information in the reports | Continuous (throughout the life of the project) documentation of disaggregated data of the participants in trainings and workshop as well as validation of the recorded data of each activity. Incorporate in prior reports within end of August Incorporate disaggregated data in future reports | • | PEU and PMU |

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| 1.3 Project needs to incorporate some activities to capture the opportunity generated by enthusiasm after the formation of local government such as developing rural municipalities as resource centres for implementation of ABS. | Agree Considering that the project period is extended, this activity will be incorporated in revised workplan | Two rural municipalities in the two project sites (Macchapuchhre Rural Muncipality, and Gaurishankar Rural Municipality) developed as resource centres of implementation of ABS. | Support the identified municipalities with required resources for the ABS resource center Support the identified municipalities for preparation of management plan Build capacity of identified municipalities to manage the resource center | • | PMU and PEU |
| 1.4 Partnership with other organisations has to be reviewed to assess whether the initially planned implementing relationship need to be continue. | Agree | Partner and collaborators effectiveness reassessed and potential collaborator identified for specific roles | Reassess partner collaboration especially FNCCI to execute Negotiation Skill Activity as planned in project document Finalise institutional modality to conduct Negotiation Training within August 2018) Conduct capacity building activities of resource user groups through HIMAWANTI, AECOFUN, FECOFUN and NEFIN on tools and knowledge acquired by the project. | | PEU and PMU |

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| 1.5 Develop a directory of local level resource persons, experts and some citizen scientist with the detail on their expertise. | Agree | A directory of local level resource persons, experts and some citizen scientist with the detail on their expertise developed | Develop a format for documentation of local level resource persons, experts and some citizen scientist with the detail on their expertise Assign field staff for documentation Incorporate in workplan from August 2018 | | PEU |
| 1.6 The PSC should promote learning from the project - key learning should be promoted to other relevant partners as well | Agree | Project's learning promoted to other relevant partners through PSC Project's learning promoted to other relevant partners through IUCN Network | Include this recommendation as one of the meeting agenda in the next PSC meeting Include the request of further dissemination of knowledge/learning acquired by the project while sending the documents to PSC members for the PSC meeting Share the knowledge/learnign acquired by the project in IUCN Nepal network | | PEU |
| 2 Specific recommendation | s for component 1: Policy | , Rules and Regulations | | | |
| 2.1 Implementation of ABS strategic and Action Plan, ABS Rules and Regulations has not been initiated because the ABS bill is yet to be passed by parliament, since it is beyond the project to assume when the bill would be passed, it can work on preparatory work of these | Agree We agree this assuming that it is preparation and not implementation. | ABS regulation drafted by end of November 2018 Terms of reference for preparation of ABS strategy and Action Plan prepared Working groups convened for formulation of ABS | Draft ABS Regulations within November 2018 Design workplan of the ABS rules and regulations and convene team of experts to work on it by December 2018 | • | PEU and PMU |

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| activities which could be | | strategy and action plan. | | | |
| executed immediately passing of the bill | | μαπ. | | | |
| 2.2 Under the current context of ABS Bill waiting for passing from the parliament, the activities planned for formulating ABS policy need to be expedited The team should develop the policy and make arrangement for its endorsement by the GoN. Endorsement of the policy might be faster than enactment of the ABS bill | - It was discussed and agreed in PMU meeting that the project will not go with formulating ABS policy as the draft Bill is almost at the final stage. | NA | NA | | |

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| 2.3 Since the National Planning Commission has begun preparation of the fifteenth plan, the team should plan to work with the NPC to make sure that key aspects of the ABS is included in the fifteenth plan. | Agree Project will support MOFE to prepare all required documents and forward to National Planning Commission for consideration. | Important aspects of the ABS included in the fifteenth plan | Conduct meeting with NPC Prepare and submit documents required for incorporation of key aspects of ABS in the fifteenth plan. | • | PMU/PEU |
| 3 Specific recommendation | s for component 2: Capac | city Needs and Training | L | | <u> </u> |
| 3.2 The revision of project plan need to incorporate orientation of ABS officials on ABS, TOT trainings to other provinces | Agree Two additional TOT has already been planned, additional three provinces will be considered if the project period will be extended as proposed. | The ToT training and orientation on ABS activities of the project extended | Identify additional provinces where ABS ToT and orientation activities will be conducted Incorporate this in revised workplan Execute the activity in the identified province | | PMU/PEU |
| 3.2 Capacity strengthening of both PMU and PEU should be emphasised which should include field staffs and staff of PEU. | Agree On new and emerging ABS issues. | PMU and PEU staff including field staff capacity on new and emerging ABS issues enhanced | Identify new and emerging ABS issues in Nepal by project experts Design and implement capacity building programs based on identified issues | | PMU/PEU |

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| 3.3 Network of domestic companies/individuals working in the field of herb processing, local seeds (like community seed banks) need to be oriented on ABS | Agree | Network of domestic companies/individuals working in the field of herb processing, local seeds (like community seed banks) oriented on ABS | Identify the stakeholders working in field of herb processing and seed banks Update capacity need assessments Conduct ABS orientation workshop | • | PEU-PMU |
| 3.4 Community Protocols should incorporate the provision to allocate certain benefit for the conservation of genetic resources | Partially Agree This will be addressed through incorporation in relevant section of ABS Bill and Regulations | Provision of allocation of certain benefit for the conservation of genetic resources in community protocol considered. | Include this provision while developing terms of Reference of Preparation of Community Protocol Guideline Include this provision while providing capacity building activity for development of community protocol | | PEU- PMU |
| 3.5 Testing of tools (CS, community protocols, biodiversity registers, committees) developed by the project need to tested in expanded agroclimatic zones with diverse ethnic and geographical setup other than mentioned in project document- which would help in wider applicability of the tools/materials developed and adoption of the project effect. | Agree Considering that the project period is extended, this activity will be incorporated in revised workplan | Citizen Scientist, community protocols, biodiversity registers, committees developed by the project tested in expanded agroclimatic zones with diverse ethnic and geographical setup other than mentioned in project document as far as budget allows | Identify areas or agroclimatic zones where project can pilot the tools in additional sites Pilot the tools | | PEU- PMU |

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| 3.6 A pilot activity should be added to enhance taxonomic training to the stakeholders which is crucial to identify biological diversity correctly. | Agree | Taxonomical knowledge enhanced in citizen scientist | Include taxonomy session in citizen scientist training | | |
| 4 Specific Recommendations | | | | 1 | |
| 4.1 Communication and dissemination on ABS should be done at larger scale covering the country | Agree | Communication and dissemination on ABS done at larger scale covering the country | Identify sources and mediums of communication and dissemination for larger scale coverage in communication plan | | PMU-PEU |
| 4.2 Public awareness measures like radio programme does not have the audience level for it to be effective and in order to enhance effectiveness possibility of linking with social network should explored | Partially Agree The project will restructure the radio program activity considering below priority actions; In addition to ongoing radio programme project will use appropriate alternative options including the use of existing ABS Project's YouTube channel and Nature Khabar's Facebook page as social network tool | ABS Radio Programme coverage enhanced by linking with social network The radio programme included local issues and concerns to make it more effective | Use existing ABS Project's YouTube channel and Nature Khabar's Facebook page as social network tool) Use Radio Sagarmatha and its platform for wider mass awareness Identify content and approach to incorporate local issues and concerns in radio programme | | PMU/PEU |

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| of covering local issues and concerns in these materials should be incorporated | Increase coverage of the radio programme and include local voices and opinions Request MTR and other relevant stakeholders to suggest appropriate options Agree | | | | |
| 4.3 Document and share the effective results of alternative media | Agree | The learning's of the alternative media documented and shared | Prepare video documentation of alternative media activity Include this in learning framework of the project | | PEU-PMU |
| 4.4 Project document needs to be published in Nepali language • ABS Concept in Nepali • Plant Genetic Resources • Animal Genetic resources | Agree ABS Concepts and other project generated publication prepared and published in Nepali already prepared by the project | Important documents generated by project published in Nepali language | Publish other ABS related document in Nepali language | | PEU-PMU |
| 4.5 Keep certain aspects of knowledge documented by | Agree This has been already taken as guiding | NA | • NA | | PMU/PEU |

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| project such as traditional knowledge, innovations, skill, practices of individuals and communities that qualify as the state of trade secret, patent rights keep confidential while preparing communication material of ABS | principle while executing all project activities including preparation of communication material | | | | |
| B) Recommendations o The long term recommenda | n longer term issues for th | | | | l |
| National ABS Clearing House: A national ABS/biodiversity clearing house mechanism with up-to-date, complete and accurate information is essential to enabling mechanism for the implementation and functioning of the Nagoya Protocol. | Agree Though this is beyond the scope of project, preliminary works will be started in the extended phase. | National ABS clearing house formed and functional | Incorporate formation of National ABS Clearing house in MoFE's future activities | | |
| ABS Bill sensitization: This project and the forthcoming projects related to biodiversity conservation should continue its sensitization | Agree | | Prioritise this activity in MoFE ABS initiatives | | |

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| programme to further upscale ABS concept, mechanism and process of benefit sharing; and formulate policy and enforce regulations. | | | | | |
| Resource inventory: The protocol needs to integrate sustainable use of genetic resources through quantitative resource inventory, monetary benefit ploughing back to the communities, as well as a part of benefits utilized for conservation purpose | Agree Detail content of the protocol is yet to be worked out. Nevertheless, this will be incorporated in relevant sections of the regulation. | These provisions integrated in Community Protocol and in the proposed regulation These provisions are addressed in draft ABS bill | Incorporate the provision in Protocol | | |
| Steering for operationalization of ABS legislation: Once the ABS Bill is enacted, the project should steer towards the operationalization of legally binding ABS agreement with stakeholders and interested contracting parties on regulation on | Agree The recommendations within the scope of ABS Bill will be addressed through proposed ABS strategy and action plan. The Ministry will take the appropriate steps to address the remaining issues. | ABS operationalized through formulation and implementation of ABS Strategy and Action Plan | Operationalize the formulated ABS Strategy and Action Plan | | |

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| resource sustainability assessment, harvesting and management practices; commercialization, monetary benefit sharing in a fair and equitable basis, non-monetary benefits, etc | | | | | |
| Establish/foster partnership with national companies. Access to genetic resources is not always the subject of international trade. Local access to genetic resources is often sought by domestic companies and institutions which are evident in case of 'Jeevani' developed from a plant species used traditionally by the Kani tribe by the Arya Vaidya Pharmacy (ten Kate and Laird 2002). There exist promising opportunities in Nepal to establish/foster | Agree | Partnership fostered with national companies | The Ministry will take necessary action to ensure this | | |

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| partnership with national | | | | | |
| companies. | | | | | |
| Biodiversity prospecting: | | | | | |
| The exploration of | Agree | | | | |
| biodiversity for | The proposed ADC Dill | | | | |
| commercially valuable | The proposed ABS Bill has encouraged bio | | | | |
| and socio-culturally | prospecting | | | | |
| important genetic | This is key element of | | | | |
| resources is the most | the draft bill. Detail will | | | | |
| important aspect of giving | be worked-out in the | | | | |
| natural biodiversity an | regulations. Once enacted, it will be enforced gradually | | | | |
| economic value (Eisner | | | | | |
| 1992; Chaudhary 1998). It | | | | | |
| is necessary to ensure | | | | | |
| long-term conservation in | | | | | |
| Nepal which is rich in | | | | | |
| biodiversity and cultural | | | | | |
| diversity, but weak in | | | | | |
| technological capacity | | | | | |
| particularly at the genetic | | | | | |
| level. Bioprospecting | | | | | |
| should be given high | | | | | |
| priority in biodiversity | | | | | |
| conservation and ABS | | | | | |
| programme. | | | | | |